



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/644,427	08/20/2003	Gary J. Verdun	016295.1422	7003

7590 06/13/2007
Roger Fulghum
Baker Botts L.L.P.
One Shell Plaza
910 Louisiana Street
Houston, TX 77002-4995

EXAMINER

AHMED, HAMDY S

ART UNIT	PAPER NUMBER
----------	--------------

2188

MAIL DATE	DELIVERY MODE
-----------	---------------

06/13/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/644,427	Applicant(s) VERDUN, GARY J.	
	Examiner Hamdy S. Ahmed	Art Unit 2188	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04/04/2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claims 1-23 are presenting for examination.

Claim 22 is withdrawn from the rejection under 35 U.S.C. 112, second paragraph.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1 - 23 are rejected under 35 U.S.C. 102(e) as being anticipated by Schuckle et al. (US No.: 7017054 B2).

As to claim 1, Schuckle hereinafter teaches, a computer system (see column 2, line 65), comprising: a processor (see column 2, line 60); a cache associated with the processor (see column 2, line 60); system memory (see column 3, line 29); a write tracking buffer external to the processor (each cache has a buffer for write back and updating the cache, and it is external to the processor; the index is acting like a buffer; see column 7, line 1); wherein the write tracking buffer is operable to hold as entries the addresses of writes to system memory (see columns 7, lines 1 - 3) during the period that processor is in a low power state (see column 5, lines 6); and wherein the processor is operable to invalidate the lines of cache corresponding to the entries of the write tracking buffer (see column 6, lines 45 - 48) upon the processor exiting its low power state (see column 7, lines 20 - 21).

As to claim 2, the Schuckle reference teaches the low power state (i.e. the Deep Sleep) of the processor (see column 6, line 1) that comprises a non-snoopable state (when the processor is in C3 or C2 as in column 6, line 17, because C1 is defined as snoopable; see column

Art Unit: 2188

6, line 50), be in which the processor is not able to monitor accesses to system memory (see column 5, lines 66 - 67).

As to claim 3, the Schuckle reference teaches the write tracking buffer wherein it is maintained in a memory controller hub that is operable to track writes to system memory by bus masters operable to access system memory (see column 4, lines 44 - 59, all of these functions are maintained in the memory controller hub, which includes the write back buffer).

As to claim 4, the Schuckle reference teaches the cache that is an internal processor cache (see column 7, lines 9 - 10).

As to claim 5, the Schuckle reference teaches a method for managing the power consumption (see column 2, lines 6 - 7) by a processor (see column 2, line 2) in a computer system (see column 2, line 8), the computer system including system memory (see column 3, line 29) and the processor including an internal cache (see column 3, line 2), comprising the steps of: causing the processor to enter a low power state (see column 2, lines 5 - 6); during the period that the processor is in a low power state, writing in a buffer external to the processor the addresses of modified data in system memory (see column 3, lines 9 - 10); and upon the processor exiting the low power state (see column 3, lines 6 - 10), invalidating those lines that correspond to the memory addresses recorded in the buffer (see column 6, lines 45 - 56).

As to claim 6, Schuckle reference teaches a method further comprising the step of invalidating the content of the buffer (see column 6, lines 45 - 56, because each cache contains a buffer).

As to claim 7, Schuckle reference teaches a method wherein the step of causing the processor to enter a low power state (see column 3, lines 17 - 18) comprises the step of causing the processor to enter a low power state in which the processor is unable to perform the task of

Art Unit: 2188

snooping (see column 3, lines 20 - 21) accesses by bus masters to system memory (see column 5, lines 45 - 46).

As to claim 8, the Schuckle reference teaches the method wherein the step of writing to the buffer the addresses of modified data in system memory comprises the step of writing to the buffer the address of each block of memory modified by a bus master (see column 3, lines 9 - 13, because the buffer is included in the cache) of the computer system (see column 3, line 27) during the period the processor is in the low power state (see column 3, lines 10 - 11).

As to claim 9, the Schuckle reference teaches the method wherein the step of writing to the buffer the addresses of modified data in system memory (see column 3, lines 9 - 13, because the buffer is included in the cache) of the computer system (see column 3, line 27) comprises the step of writing the address to the buffer only if it is determined that the address has not already been written to the buffer (all the addresses of memory locations are stored in the index (i.e., buffer), (See column 7, lines 1 - 3).

As to claim 10, the Schuckle reference teaches a method that further comprises the step of causing the processor to exit its low power state once the buffer is full (for the processor to exit its low power state, depending on the status of the cache line, which inherently contains a buffer; see column 10, lines 34 - 37).

As to claim 11, the Schuckle reference teaches a method further comprising the step of, before causing the processor to enter the low power state (see column 10, lines 28 - 33), writing to system memory the content of those lines of the cache (see column 10, lines 9 - 11) that have been modified relative to the content of the corresponding locations in system memory (see column 10, lines 64 - 66).

As to claim 12, the Schuckle reference teaches a method for managing cache coherency in an information handling system (see column 7, lines 6 - 8), the information handling system

Art Unit: 2188

including a processor (see column 7, line with an internal cache (see column 7, line 4) and system memory (see column 7, line 1 and line 12), comprising the steps of: performing a write back operation to write to system memory those cache lines that have been modified relative to the content of corresponding memory locations in system memory (See column 11, lines 54 - 57) ; causing the processor to enter a low power state; during the period that the processor is in a low power state (see column 11, lines 59 - 60), writing in a buffer external to the processor (the buffer is in the cache, and the cache is outside the processor) the addresses of data in system memory that have been modified (see column 10, lines 64 - 66) by a bus master (the bus master is driven by the memory controller, see column 5, line 46) in the information handling system; and upon the processor exiting the low power state for a higher power state (see column 5, lines 46 - 47), invalidating in the cache those cache lines corresponding to the memory addresses recorded in the buffer (see column 6, lines 45 - 46).

As to claim 13, the Schuckle reference teaches a method further comprising the step of cleaning the buffer following the step of invalidating the cache lines corresponding to the memory addresses recorded in the buffer (see column 5, lines 45 - 49).

As to claim 14, Schuckle reference teaches a method further comprising the step of following the step of invalidating cache lines corresponding to memory addresses recorded in the buffer (see column 6, lines 45 - 46), writing to the invalidated cache lines the content of the corresponding memory addresses in system memory (see column 5, lines 45 - 49).

As to claim 15, the Schuckle reference teaches a method wherein the step of writing to the buffer comprises the step of writing to the buffer only if it is determined that the address of the modified memory location has not been previously recorded in the buffer (see column 10, lines 58 - 67).

As to claim 16, the Schuckle reference teaches the method further comprising the step of causing the processor to exit its low power state for a higher power state upon a determination that the buffer is full (see column 5, lines 46 - 47).

As to claim 17, the Schuckle reference teaches an information handling system (see column 2, lines 6 - 7), comprising: a processor having an internal processor cache (see column 7, line 4); system memory (see column 10, line 41); a buffer (the cache inherently contains a buffer); a memory controller (see column 3, line 6); wherein the memory controller (see column 3, line 6) is operable to populate the buffer with the addresses of writes made to system memory during the period that the processor is in a low power state (see column 3, lines 6 - 9); and wherein the processor, upon exiting the low power state (see column 3, lines 9 - 10), is operable to invalidate cache lines of the processor cache corresponding to the addresses recorded in the buffer (the cache inherently contains a buffer).

As to claim 18, the Schuckle reference teaches the system wherein the low power state is a non-snooper state characterized by the inability (see column 3, lines 20 - 21) of the processor to monitor writes to system memory by a bus master of the information handling system (see column 10, lines 4 - 11).

As to claim 19, the Schuckle reference teaches the system wherein the memory controller is operable to cause the processor to exit its low power state when the buffer is full (for the processor to exit its low power state, depending on the status of the cache line, which inherently contains a buffer; see column 10, lines 34 - 37).

As to claim 20, Schuckle reference teaches, wherein the processor is operable to perform, before entering a low power state (see column 3, lines 14 - 17), a write-back operation to system memory in which all modified cache lines are written to the corresponding locations in system memory (See column 11, lines 54 - 57).

As to claim 21, the Schuckle reference teaches a method for managing cache coherency in a computer system (see column 7, lines 7 - 9) following the entry of a processor into a low power state (see column 7, lines 30 - 31), the computer system (see column 3, line 27) including a processor having an internal cache (see column 3, line 2), system memory (see column 3, line 29), and an external write tracking buffer operable to store the addresses of system memory addresses modified during the period that the processor was in the low power state (see column 7, lines 1 - 3 in which the index is a buffer), comprising the steps of: causing the processor to exit the low power state (see column 3, lines 9 - 10); and invalidating in the internal cache those cache lines corresponding to the memory addresses stored in the buffer (see column 6, lines 45 - 48).

As to claim 22, the Schuckle reference teaches the method further comprising the step of clearing the buffer following the step of invalidating cache lines in the internal cache (see column 6, lines 45 - 56, because each cache contains a buffer).

As to claim 23, the Schuckle reference teaches the method further comprising the step of following the step of invalidating cache lines corresponding to memory addresses stored in the buffer, writing to the invalidated cache lines the content of the corresponding memory addresses in system memory (see column 6, lines 45 - 56, because each cache contains a buffer).

Response To Arguments

With regard to the first argument, Schuckle discloses clearly a cache that contains an index of memory locations; inherently, a cache contains a buffer for prefetch operations involving those memory locations (column 7, lines 1 - 3). Schuckle describes a method by which the processor can be kept at a low-power state while operations involving system memory (see figure 2) are performed. With regard to the second argument, Schuckle describes

Art Unit: 2188

a process by which modified data addresses may be accessed while keeping the processor in a low-power state (column 7, lines 23 – 31). With regard to the third argument, Schuckle describes a process by which modified data addresses may be accessed while keeping the processor in a low-power state (column 7, lines 23 – 31). With regard to the fourth argument, Schuckle describes a process by which modified data addresses may be accessed while keeping the processor in a low-power state (column 7, lines 23 – 31). Because the rejections of independent claims 1, 5, 12, 17, and 21 are upheld, the rejections of dependent claims 2 – 4, 6 – 11, 18 – 20, and 22 – 23 are still valid.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hamdy S. Ahmed whose telephone number is 571-270-1027. The examiner can normally be reached on M-TR 7:30-5:00pm and Every 2nd Friday 7:30-4:00pm.

Art Unit: 2188

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hung Sough can be reached on 571-272-4199. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

HA

Hamdy Ahmed

*06/8/07***GARY PORTKA
PRIMARY EXAMINER***Gary J Portka*